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FILE COPY

PATENT Docket No. 524022000100

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to MS Office of Initial Patent Examination, the United States Patent and

Trademark Office on January 7, 2004.

Rhea Amid

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Dexu ZHU et al

Serial No.:

09/975,136

Filing Date:

October 10, 2001

For:

METHODS AND COMPOSITIONS FOR

TREATING OR PREVENTING BACTERIAL INFECTION

Examiner: Paul Zucker

Group Art Unit: 1621

RECEIVED

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TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

MS: Office of Initial Patent Examination Commissioner for Patents P O Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

UNDER ASSIGNOR:

Please change the assignor "MURAMATSU, MITSUMI" to

--MURAMATSU, MUTSUMI--

and change the assignor "NI, Cheng" to

--CHENG, Ni--

Attached is a Revised Declaration/Power of Attorney which is to correct the 2nd and 4th Assignors' names and the name and address of the 2nd Assignor.

Also attached is a redmarked copy of the Filing Receipt. indicating same.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u>, ref. <u>Docket No. 524022000100</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: January 7, 2004

By:

Peng Chen Registration No. 43,543

Morrison & Foerster U.P 3811 Valley Centre Drive Suite 500 San Diego, California 92130-2332

Telephone: (858) 720-5117 Facsimile: (858) 720-5125

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	Under the Papersons Reduction.	AZZ BI 1999, NO DBITONS BI	Application Number	tion of Information unless it displays a valid OMB control no. 27 09/975,136
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FORM (to be used for all correspondence effer initial filing)		First Named	Dexu ZHU	
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Total Number of Pages in This Submission /2		12	Attorney Docket Number	524022000100
	·	ENCLOS	URES (check all	that apply)
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Fee	Attached	Drawing(s)		Appeal Communication to Board of Appeals and
Amendme	ent/Reply	Licensing-re	clated Papers	Appeal Communication to Group (Appeal Notice, Brief, Repty Brief)
After Final		Pelition		Proprietary Information
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below)
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Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

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MUNICONSTITUTE OFFICE PATENTS PATENT AND TRACEMENT OFFICE

WASHINGTON, D.C. 2023

APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS | 09/975,136 | 10/10/2001 | 1614 | 435 | 524022000100 | 3 | 20 | 1

CONFIRMATION NO. 8877

UPDATED FILING RECEIPT

OC0000000076143201

Peng Chen Morrison & Foerster LLP Suite 500 3511 Valley Centre Drive San Diego, CA 92130-5117

Date Mailed: 03/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dexu Zhu, Nanjing, CHINA;

MUTSIMI Mitsumi Muramatsu, Tokushirma-shi, JAPAN;

Jianshu Xie, Shanghai, CHINA;

NI CHEN G Cheng Ni Zhengjiang, CHINA;

Ming-Wel Wang, San Deigo, CA:

Domestic Priority data as claimed by applicant

Foreign Applications

CHINA 01142289.0 09/26/2001

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

Methods and compositions for treating or preventing bacterial infection

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS AND COMPOSITIONS FOR TREATING OR PREVENTING BACTERIAL INFECTION

the specification of which was filed on October 10, 2001 as Application No. 09/975,136.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any
foreign application(s) for patent or inventor's certificate listed below and have also identified
below any foreign application for patent or inventor's certificate having a filing date before tha
of the application on which priority is claimed:

below any foreign application for patent or inventor's certificate having a filing date boof the application on which priority is claimed:	efore
no foreign applications have been filed	
x foreign application have been filed as follows:	

Attorney Docket No.: 524022000100

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
	• •		Yes No
·			Yes No
			Yes No

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing
01 142289.0	CN	September 26, 2001
•		

CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

no U.S. provisional applications have been file

U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
		Yes No
		Yes No
		Yes No

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all

Attorney Docket No.: 524022000100

information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

x	no U.S./PCT applications have been filed.
	U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)
:		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint:

All practitioners at Customer Number 25225

all of Morrison & Foerster LLP, 3811 Valley Centre Drive, Suite 500, San Diego, California 92130, jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Peng Chen, whose address is:

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